

10/540061

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A3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Hajime Harada et al.

International

Serial No. PCT/JP2003/016306

U.S. Serial No.: Applied for

Examiner: Not Assigned

Intl. Filing Date: December 19, 2003

U.S. Filing Date: Herewith

Art Unit: Not Assigned

Title: PROCESS FOR PRODUCTION
OF A VINYL POLYMER
TERMINATED WITH A GROUP
HAVING POLYMERIZABLE
CARBON-CARBON DOUBLE
BOND

Attorney Docket No: 5404/111

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

U.S. PATENT DOCUMENTS

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME
6,441,101 B1	08/27/2002	Kusakabe et al.

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY
EP 0 789 036 A2	08/13/1997	EPO
EP 1 059 308 A1	12/13/2000	EPO

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY
JP 2000-136211	05/16/2000	Japan

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

International Search Report from Corresponding International Application
No. PCT/JP03/16306, dated April 13, 2004.

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2).

Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

June 22, 2005
Date

Richard G. Lione
Richard G. Lione (Reg. No. 19,795)

FORM PTO-1449	INTERNATIONAL APPLICATION NO. PCT/JP2003/016306	CASE NO. 5404/111
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	INTERNATIONAL FILING DATE December 19, 2003	GROUP ART UNIT 10/540061 Not Assigned
APPLICANT(S): Hajime Harada et al.		

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,441,101 B1	08/27/2002	Kusakabe et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A2	EP 0 789 036 A2	08/13/1997	EPO		
	A3	EP 1 059 308 A1	12/13/2000	EPO		
	A4	JP 2000-136211	05/16/2000	Japan		Abstract

EXAMINER
INITIAL

OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

	A5	International Search Report from Corresponding International Application No. PCT/JP03/16306, dated April 13, 2004.
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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.